

GOVERNANCE AND AUDIT COMMITTEE

Thursday, November 30, 2017

4:00 PM

PLEASE NOTE MEETING DATE AND TIME

Conference Room 157
County Government Center
70 West Hedding Street
San Jose, CA

AGENDA

CALL TO ORDER

1. ROLL CALL
2. PUBLIC PRESENTATIONS:

This portion of the agenda is reserved for persons desiring to address the Committee on any matter not on the agenda. Speakers are **limited to 2 minutes**. The law does not permit Committee action or extended discussion on any item not on the agenda except under special circumstances. If Committee action is requested, the matter can be placed on a subsequent agenda. All statements that require a response will be referred to staff for reply in writing.

3. ORDERS OF THE DAY

CONSENT AGENDA

4. ACTION ITEM - Approve the Regular Meeting Minutes of November 2, 2017.
5. INFORMATION ITEM - Receive and review the Auditor General's Ethics Hotline Quarterly Summary Report.

REGULAR AGENDA

6. ACTION ITEM - Review and receive the audited CAFR for VTA, and the Financial Reports for ATU Pension Plan and Retirees' OPEB Trust (both referred to as Trusts) for Fiscal Year 2017.

7. ACTION ITEM - Approve the revised Records Retention Schedule.
8. ACTION ITEM - Review and receive the Auditor General's report on the Records Information Management Program Assessment.
9. INFORMATION ITEM - Receive the Auditor General's update on the Advanced Communication System CAD/AVL Independent Project Oversight process.
10. INFORMATION ITEM - Receive an update from Auditor General Office staff on the status of projects contained in the current Internal Audit Work Plan.

OTHER ITEMS

11. Items of Concern and Referral to Administration.
12. Review Committee Work Plan. (Fernandez)
13. Committee Staff Report. (Fernandez)
14. Chairperson's Report. (Bruins)
15. Determine Items for the Consent Agenda for future VTA Board of Directors' meetings.

16. ANNOUNCEMENTS

17. RECESS TO CLOSED SESSION

- A. Conference with Labor Negotiators
[Government Code Section 54957.6]
VTA Designated Representatives:
Jeannie Bruins, Board Chairperson
Alberto Lara, Director of Business Services

Unrepresented Employee:
General Manager

- B. Conference with Labor Negotiators
[Government Code Section 54957.6]
VTA Designated Representatives:
Jeannie Bruins, Board Chairperson
Alberto Lara, Director of Business Services

Unrepresented Employee:
General Counsel

18. RECONVENE TO OPEN SESSION

19. CLOSED SESSION REPORT

20. ADJOURN

In accordance with the Americans with Disabilities Act (ADA) and Title VI of the Civil Rights Act of 1964, VTA will make reasonable arrangements to ensure meaningful access to its meetings for persons who have disabilities and for persons with limited English proficiency who need translation and interpretation services. Individuals requiring ADA accommodations should notify the Board Secretary's Office at least 48-hours prior to the meeting. Individuals requiring language assistance should notify the Board Secretary's Office at least 72-hours prior to the meeting. The Board Secretary may be contacted at ☎ (408) 321-5680 or ✉ board.secretary@vta.org or ☎ (408) 321-2330 (TTY only). VTA's home page is www.vta.org or visit us on [fb](https://www.facebook.com/scvta) www.facebook.com/scvta. ☎ (408) 321-2300: 中文 / Español / 日本語 / 한국어 / tiếng Việt / Tagalog.

Disclosure of Campaign Contributions to Board Members (Government Code Section 84308) In accordance with Government Code Section 84308, no VTA Board Member shall accept, solicit, or direct a contribution of more than \$250 from any party, or his or her agent, or from any participant, or his or her agent, while a proceeding involving a license, permit, or other entitlement for use is pending before the agency. Any Board Member who has received a contribution within the preceding 12 months in an amount of more than \$250 from a party or from any agent or participant shall disclose that fact on the record of the proceeding and shall not make, participate in making, or in any way attempt to use his or her official position to influence the decision. A party to a proceeding before VTA shall disclose on the record of the proceeding any contribution in an amount of more than \$250 made within the preceding 12 months by the party, or his or her agent, to any Board Member. No party, or his or her agent, shall make a contribution of more than \$250 to any Board Member during the proceeding and for three months following the date a final decision is rendered by the agency in the proceeding. The foregoing statements are limited in their entirety by the provisions of Section 84308 and parties are urged to consult with their own legal counsel regarding the requirements of the law.

All reports for items on the open meeting agenda are available for review in the Board Secretary's Office, 3331 North First Street, San Jose, California, (408) 321-5680, the Monday, Tuesday, and Wednesday prior to the meeting. This information is available on VTA's website at <http://www.vta.org> and also at the meeting.

**NOTE: THE BOARD OF DIRECTORS MAY ACCEPT, REJECT OR MODIFY
ANY ACTION RECOMMENDED ON THIS AGENDA.**